

# NEWFLEET ASSET MANAGEMENT, LLC

PROFESSIONAL  
BIOGRAPHY

ITEM 1 – COVER PAGE

MICHAEL SOLLICITO

NEWFLEET ASSET MANAGEMENT, LLC

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This Brochure Supplement provides information about Michael Sollicito that supplements the Newfleet Asset Management, LLC ("Newfleet") brochure. You should have received a copy of that brochure. Please contact us at (877) 332-8172 or [Laura.Krajewski@virtus.com](mailto:Laura.Krajewski@virtus.com) if you did not receive Newfleet's brochure or if you have any questions about the contents of this supplement.

# NEWFLEET ASSET MANAGEMENT, LLC

PROFESSIONAL BIOGRAPHIES (CONTINUED)

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

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### Michael Sollicito

Chief Operating Officer

- Business experience: Oversees operations and assists the firm's president in managing firm profitability. Additionally, he is responsible for marketing strategies and relationship management for select institutional clients. Previous experience: Product Specialist, Virtus Investment Partners; Retail Distribution, Virtus Investment Partners; Financial Advisor, Omega Financial Group, LLC. Began his career in the investment industry in 1997.
- Education: BA, University of Connecticut
- Licenses: FINRA Series 7 & 24

## ITEM 3 – DISCIPLINARY INFORMATION

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Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There is no disciplinary information to report.

## ITEM 4 – OTHER BUSINESS ACTIVITIES

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Mr. Sollicito does not have other investment-related business activities.

## ITEM 5 – ADDITIONAL COMPENSATION

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Bonus based on performance of portfolios on a 1-, 3-, 5-year basis. Additional factors, such as client retention and growth in assets under management may also be considered as part of the performance evaluation.

## ITEM 6 – SUPERVISION

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Mr. Sollicito attends regular meetings with his direct supervisor and senior management to discuss various aspects of the business including the firm's operating performance, portfolio structure, holdings, compliance, investment guidelines, asset classes, clients, prospects, etc.

Mr. Sollicito's supervisor is Dave Albrycht, CFA, President and Chief Investment Officer of Newfleet Asset Management, LLC, phone number (877) 332-8172.