

NEWFLEET ASSET MANAGEMENT, LLC

PROFESSIONAL
BIOGRAPHY

ITEM 1 – COVER PAGE

STEPHEN H. HOOKER, CFA

NEWFLEET ASSET MANAGEMENT, LLC

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This Brochure Supplement provides information about Stephen H. Hooker that supplements the Newfleet Asset Management, LLC ("Newfleet") brochure. You should have received a copy of that brochure. Please contact us at (877) 332-8172 or Laura.Krajewski@virtus.com if you did not receive Newfleet's brochure or if you have any questions about the contents of this supplement.

NEWFLEET ASSET MANAGEMENT, LLC

PROFESSIONAL BIOGRAPHIES (CONTINUED)

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Stephen H. Hooker, CFA

Managing Director and Portfolio Manager

- Business experience: oversees implementing the management of Newfleet's mandates to institutional clients and consultants. Mr. Hooker also serves as co-portfolio manager of the Virtus Bond Fund, Virtus Strategic Allocation Fund, and Virtus Global Dividend and Income Fund. Previous experience: Managing Director Foreign Research and co-portfolio manager of the Virtus Emerging Markets Debt Fund, Vice President and Senior Credit Analyst at Aladdin Capital Management, Director Credit Analyst and Sector Manager at Goodwin Capital Advisers, a former investment management subsidiary of Virtus.
- Education: B.A. in psychology from Trinity College
- Licenses: Chartered Financial Analyst designation, Series 7 & 63

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There is no disciplinary information to report.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Hooker does not have other investment-related business activities.

ITEM 5 – ADDITIONAL COMPENSATION

Bonus based on performance of portfolios on a 1-, 3-, 5-year basis. Additional factors, such as client retention and growth in assets under management may also be considered as part of the performance evaluation.

ITEM 6 – SUPERVISION

Mr. Hooker attends regular meetings with his direct supervisor and senior management to discuss various aspects of his role including portfolio structure, holdings, compliance, investment guidelines, asset classes, prospects, etc.

Mr. Hooker's supervisor is David Albrycht, CFA, President and Chief Investment Officer of Newfleet Asset Management, LLC, phone number (877) 332-8172.